

Adviser Profile

Ben Sumner



This profile is part of the Financial Services Guide (FSG) and should be read in conjunction with the FSG.



Meet your adviser

Ben Sumner, an Authorised Representative (AR No. 001298935) of Vantage Wealth Management Pty Ltd as trustee for the Vantage Wealth Management Unit Trust ("Vantage"). Advice is provided by him through Vantage, a Corporate Authorised Representative (AR No. 337994) of VWM Financial Services Pty Ltd (AFSL No. 345744).

Ben holds a Bachelor of Commerce (Finance and Marketing) from Curtin University and a Graduate Diploma of Financial Planning from Kaplan Professional. He commenced working in the financial services industry in 2020.

Ben values building strong relationships that are founded on trust and is passionate about helping people take control of their financial affairs and achieve their goals.

Ben specialises in assisting clients in the following areas:

- Developing goal based financial plan for individuals looking to retire in the next 5-10 years;
- People who have received a financial windfall such as an inheritance, redundancy or settlement;
- Clients looking to build tax effective investment strategies;
- Individuals and families wanting to protect their income and wealth through cost effective insurance strategies;
- Superannuation fund consolidation and contribution advice;
- SMSF investment strategy and portfolio design.

Outside of work Ben has a keen passion for nature and the outdoors and is often away hiking and camping on long weekends or seeding his garden for the season. In his free time, Ben likes training at the gym, long walks, and finding new dining spots to enjoy with family and friends.

What financial services are we authorised to provide and what products do these services relate to?

Vantage is authorised to provide the following financial services:

Financial product advice and deal by applying for, acquiring, varying or disposing of a financial product on behalf of another person in:

- Basic deposit products;
- Non-basic deposit products;
- Government debentures, stocks or bonds;
- Investment life insurance products;
- Life risk insurance products;
- Interests in managed investment schemes including IDPS;
- Retirement savings accounts;
- Securities;
- Standard margin lending facilities; and
- Superannuation;

to retail and wholesale clients.

Ben Sumner is authorised to advise and deal in all the financial products listed above. He is not authorised to provide advice on direct real property and digital assets such as cryptocurrencies, NFTs and other tokens.

How will you be paid for the services provided to me?

Ben Sumner is an Authorised Representative and employee of Vantage receiving a fixed salary and he may be entitled to a bonus. Additionally, dividend distributions may be payable to entities associated with him. His remuneration package is not linked nor related to specific investment recommendations but is related to individual performance and the profitability of Vantage.

Do any relationships or associations exist which might influence you in providing me with the financial services, other than outlined within the Financial Services Guide?

No.